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U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

### STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Christian H. Passow

Application No./Patent No.: 09/961,161 Filed/Issue Date: September 21, 2001

Entitled A Pusher Assembly and Method of Assembling a Pusher Assembler

Siemens Energy & Automation, a Delaware Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest, or

2. ☐ an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:

A ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 012443, Frame 0927, or for which a copy thereof is attached.

OR

B ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached

2. From: \_\_\_\_\_ To: \_\_\_\_\_

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

October 21, 2003

Date

(312) 876-1800

Telephone number

F. William McLaughlin

Typed or printed name

Signature

Attorney/Partner

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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(Rev. 6-93)

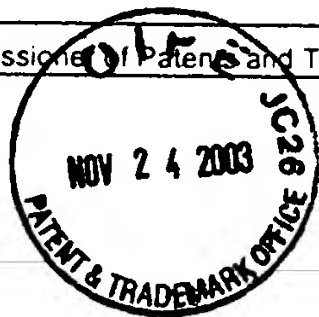
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RECORDATION FORM COVER SHEET U.S. DEPARTMENT OF COMMERCE  
PATENTS ONLYPatent and Trademark Office  
2001P17280US

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

Name of conveying party(ies)

Christian H. Passow



2. Name and address of receiving party(ies)

Name: Siemens Energy & Automation, Inc.

Internal Address: \_\_\_\_\_

Street Address: 3333 Old Milton ParkwayCity: Alpharetta State: Georgia ZIP: 30005Additional name(s) & address(es) attached? ☐ Yes ☒ NoAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_

Execution Date: November 2, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

09/961,161

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Elsa Keller

Internal Address: \_\_\_\_\_

Siemens CorporationIntellectual Property DepartmentStreet Address: 186 Wood Avenue SouthCity: Iselin State: NJ ZIP: 088306. Total number of applications and patents involved: one7. Total Fee (37 CFR 3.41) ..... \$ 40.00☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit Account No.

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Nora T. Wesley  
Name of Person SigningA handwritten signature in cursive script that reads "Nora T. Wesley".  
Signature

Date 11-20-2001

## ASSIGNMENT

For good and valuable consideration, I, Christian H. Passow, 2324 Stone Road, Ann Arbor, Michigan 48105 hereafter individually or collectively referred to as "Assignors";

Hereby sell, assign and transfer to **Siemens Energy & Automation**, a corporation organized and existing under the state laws of Delaware, having its principal place of business at 3333 Old Milton Parkway, Alpharetta, GA 30005, hereinafter "Assignee", its successors, assigns and legal representatives, the entire right, title and interest in and for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent, which has been executed by the undersigned and filed on September 21, 2001, Application Serial No. 09/961,161 entitled:

### A PUSHER ASSEMBLY AND METHOD OF ASSEMBLING A PUSHER ASSEMBLY

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on said improvements;

Agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and legal representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein

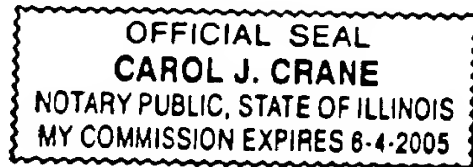
expressed is possessed by the undersigned.

Christian H. Passow (L.S.)  
Christian H. Passow

State of MICHIGAN )  
 ): s  
County of WASHTENAW )

Before me this 2 day of NOVEMBER, 2001, personally appeared Christian H. Passow, to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purpose therein set forth.

[Seal]



Carol J. Crane  
Notary Public

Please return the recorded assignment to:  
Elsa Keller, Legal Assistant  
Intellectual Property Department  
Siemens Corporation  
186 Wood Avenue South  
Iselin, New Jersey 08830  
Tel. No. (732) 321-3026